

## **Physical Therapy Management of Patient Born with Obstetric Brachial Plexus Injury Following Triangle Tilt Surgery: A Case Report**

Jennifer E. Bruer-Vandeweert, Student PT

Dr. Genevieve B. Smith, PT, DPT, OCS

During the birthing process, there are many complications that may arise. One of these complications include obstetric brachial plexus injury (OBPI) which is caused by damage of the brachial plexus during the perinatal period as a result of increased neck-shoulder angle. Such causes may include birthing position, compression from hematoma, clavicular fracture, uterine contraction, or manipulation during delivery. According to the World Health Organization, prevalence is generally 1-2% of the population worldwide with an increased prevalence in regions with a lower socioeconomic status. The majority of infants who develop this injury require surgical interventions. There are a few studies that have looked at the long-term outcomes following a triangle tilt surgery which addressed scapular and glenohumeral joint abnormalities characteristic of Erb's palsy, improved shoulder functional movements, and anatomical positioning. However, these studies failed to report specific strategies used other than surgical intervention to achieve these long-term results. The purpose of this case report is to demonstrate the physical therapy management of a patient born with an OBPI following a revision triangle tilt surgery 16 years after primary injury.

The patient in this case study sustained an OBPI that was surgically treated 15 months after birth with a Mod Quad surgery. This surgery included a latissimus dorsi transfer for external rotation and abduction, teres major transfer for scapular stabilization, subscapularis muscle release, and an axillary nerve decompression. The patient also underwent a pectoralis major release during this same procedure. The patient progressed through the proposed protocol with only moderate adherence and as a result the patient had minimal improvements in function and no improvement in internal rotation deformity of the shoulder. Despite physical therapy treatment the patient

presented with scapular hypoplasia, elevation, and rotation. To combat this biomechanical deformity the patient underwent a secondary surgery 15.5 years after the initial surgery. This surgery was a Triangle Tilt which aimed to fix the excessive internal rotation posture through a bone realignment. The clavicle and acromion were realigned in conjunction with posterior glenohumeral capsulodesis to reverse any laxity in the joint from prolonged internal rotation. At four weeks status post revisional surgery, the patient entered our clinic for rehabilitation. The clinician chose interventions based on the provided protocol for the surgery and the therapist's knowledge of current research. Although the evidence did not suggest a recommended plan of care for the management of this patient the use of a multimodal approach was decided on by the clinician. General strengthening of the impaired musculature was done through a progression of active range of motion, isometric, isotonic to dynamic as demonstrated by McCann et al who examined the kinematic and electromyography activity of the shoulder during rehabilitation. Additional evidence supports interventions such as PNF, scapular stabilization, and progressive resistive shoulder exercises. Clinicians should consider implementing these interventions that are supported by evidence and are associated with significant improvements in physical impairments, pain and function for a patient following a revisional triangle tilt surgery.

Patients that present to the clinic following a surgical procedure often have a substantial amount of pain and neuromuscular involvement. For this patient the revisional surgery created a more complex presentation as he arrived to this clinic with impairments from the initial injury, first surgery and the most recent revisional surgery. Improvements were noted in ROM, strength and functional ability following a 40-week course of physical therapy treatment. Statistically

significant improvements were made in the NPRS and QuickDASH, and improved functional ability and self-image as indicated by self-report during the rehabilitation process.

While there is a surplus of evidence explaining the surgical management of an individual experiencing impairments related to an OBPI, there is not currently an optimal post-surgical rehabilitation strategy. Further research investigating the effects of these interventions as a component of the post-surgical physical therapy management of triangle tilt surgery is warranted. The research should focus on the long-term effects of rehabilitation on pain, physical impairments such as strength and range of motion as well as functional abilities. In addition, research is necessary to identify the psychometric properties of applying outcome measures to the specific patient population in this case study to ensure an accurate measurement of clinically significant change as a result of the implemented interventions.

## References

Ahmed-Labib M, Golan JD & Jacques L. Functional outcome of brachial plexus reconstruction after trauma. *Neurosurgery*. 2007;61:1016-1023.

Balci NC, Yuruk ZO, Zeybek A, et al. Acute effect of scapular proprioceptive neuromuscular facilitation (PNF) techniques and classic exercises in adhesive capsulitis: A randomized controlled trial. *J Phys Ther Sci*. 2016;28:1219-1227.

Chang KW, Justice D, Chung KC & Yang LJ. A systematic review of evaluation methods for neonatal brachial plexus palsy: A review. *J Neurosurg Pediatr*. 2013;12:395-405.

Dekkers KJ, Rameckers EA, Smeets RJ & Janssen-Potten YJ. Upper extremity strength measurement for children with cerebral palsy: A systematic review of available instruments. *Phys Ther*. 2014;94:609-622.

Godges JJ, Mattson-Bell M, Thorpe D & Shah D. The immediate effects of soft tissue mobilization with proprioceptive neuromuscular facilitation on glenohumeral external rotation and overhead reach. *J Orthop Sports Phys Ther*. 2003;33:713-718.

Hayes K, Walton JR, Szomor ZR & Murrell GA. Reliability of five methods for assessing shoulder range of motion. *Aust J Physiother*. 2001;47:289-294.

Kendall FP, McCreary EK, Provance PG, et al. *Muscles: Testing and Function with Posture and Pain*. 5<sup>th</sup> ed. Baltimore, MD: Lippincott Williams & Wilkins; 2005.

Kisner C & Colby LA. *Therapeutic exercise: Foundations and techniques*. F.A. Davis Company. 2012; 6<sup>th</sup> ed.

McCann PD, Wooten ME, Kadaba MP, et al. A kinematic and electromyographic study of shoulder rehabilitation exercises. *Clin Orthop Relat Res*. 1993;288:179-188.

Mintken PE, Glynn P, Cleland JA. Psychometric properties of the shortened disabilities of the arm, shoulder, and hand questionnaire (QuickDASH) and numeric pain rating scale in patient with shoulder pain. *J Shoulder Elbow Surg*. 2009;18:920-926.

Nath RK, Amrani A, Melcher SE & Eichhorn MG. Triangle tilt surgery in an older pediatric patient with obstetric brachial plexus injury. *Eplasty*. 2009;9:26.

Nath RK, Karicherla P, Mahmooduddin F. Shoulder function and anatomy in complete obstetric brachial plexus palsy: long term improvement after triangle tilt surgery. *Childs Nerv Syst*. 2010;26:1009-1019.

Nath RK, Liu X, Melcher SE & Fan J. Long term outcomes of triangle tilt surgery for obstetric brachial plexus injury. *Pediatr Surg Int*. 2010;26:913-918.

Nath RK, Lyons AB, Melcher SE & Paizi M. Surgical correction of the medial rotation contracture in obstetric brachial plexus palsy. *J Bone Joint Surg Br.* 2007;89:1638-1644.

Oledzka M, Jaczewska-Boogacka J. Effectiveness of proprioceptive neuromuscular facilitation (PNF) in improving shoulder range of motion. A pilot study. *Ortop Traumatol Rehabil.* 2017;19:285-292.

Paternostro-Sluga T, Grim-Stieger M, Posch M, et al. Reliability and validity of the medical research council (MRC) scale and a modified scale for testing muscle strength in patients with radial palsy. *J Rehabil Med.* 2008;40:665-671.

Pontillo M, Orishimo KF, Kremenec IJ & et al. Shoulder musculature activity and stabilization during upper extremity weight-bearing activities. *N Am J Sports Phys Ther.* 2007;2:90-96.

Watson AWS & Mac Donncha C. A reliable technique for the assessment of posture: Assessment criteria for aspects of posture. *J Sports Med Phys Fitness.* 2000;40:260-270.

Witt D, Talbott N & Kotowski S. Electromyographic activity of scapular muscles during diagonal patterns using elastic resistance and free weights. *Int J Sports Phys Ther.* 2011;6:322-332.

Supplemental Resources

*Table 1. Patient Outcome Measures*

	<b>Prior to surgery</b>	<b>Upon evaluation, post-surgery</b>	<b>20 weeks post-surgery</b>	<b>40 weeks post-surgery</b>
<i>NPRS</i>	0	Average 5.66	Average 2.33	Average 0.66
<i>QuickDASH</i>	N/A	69.09%	N/A	32.72%

*Table 2. Physical Examination Findings*

	<b>Right</b>	<b>Left</b>
<b>Posture in standing at rest</b>	Scapular abduction (about 3-4 fingers between medial scapula border and vertebral bodies) and shoulder elevation	
<b>AROM</b>	Flexion = 75 Abduction = 65 External Rotation at 45 degrees = 15 Internal Rotation Contraindicated Loss of terminal elbow extension	WNL
<b>PROM</b>	Flexion = 125 Abduction = 120 External Rotation at 45 degrees = 65 Internal Rotation Contraindicated Loss of terminal elbow extension	WNL
<b>Manual Muscle Testing</b>	Deltoid 2+/5 Scaption 3+/5 Shoulder Abduction 2+/5 Shoulder Flexion 3-/5 Shoulder External Rotation 3-/5	WNL

*Table 3. Intervention Timeline*

<b>Week</b>	<b>Interventions</b>
<b>4</b>	PROM shoulder/elbow, AAROM elbow, AROM hand
<b>6</b>	Discontinue SARO, PROM shoulder, AROM elbow and hand, HEP education
<b>10</b>	AAROM shoulder, scapular setting feedback
<b>12</b>	Aerobic conditioning, isometrics, AAROM shoulder
<b>24</b>	Aerobic conditioning, CKC exercises, scapular stabilization, postural control
<b>34</b>	D1 extension dynamic reversals, dynamic stabilization, scapular PNF, coordination/dexterity
<b>40</b>	Discharge to HEP

**Table 4. Physical Properties**

		4 weeks	24 weeks	32 weeks	40 weeks
<b>AROM</b>					
	Flexion	115	140	150	150
	Abduction	95	115	115	125
	External Rotation-5 degrees	35	65	65	65
<b>PROM</b>					
	Flexion	150	WNL	WNL	WNL
	Abduction	135	WNL	WNL	WNL
	External Rotation- 45 degrees	75	WNL	WNL	WNL
<b>MMT</b>					
	Deltoid	3-/5	3+/5	3+/5	3+/5
	Scaption	3+/5	3-/5	3-/5	3-/5
	Abduction	3/5	3-/5	3-/5	3+/5
	Flexion	3/5	3-/5	3-/5	3/5
	External Rotation	3/5	3+	3+/5	4-/5
	Internal Rotation	Contraindicated	Contraindicated	Contraindicated	2-/5
	Elbow Flexion	Not Measured	3+/5	3+/5	4/5